









RMS initially decreases with increasing number of hidden nodes, but beyond three nodes the learning RMS is relatively constant. In contrast, the predicting RMS has a very distinct minimum for six hidden nodes. To confirm that this behavior is real, and not an artifact, a more extensive analysis was performed for five, six and seven hidden nodes. Ten learning/testing pairs, with the total of all the testing subsets covering the entire training data set, were used to train ten neural networks for each of these three configurations. The same behavior, with a minimum in the predicting RMS for six hidden nodes, was found, although the degree of improvement at six nodes was considerably reduced. Since the lowest RMS corresponds to the minimum error between predicted and measured FN, a network with six hidden nodes was chosen as the optimal network architecture. The final, optimum neural network architecture is shown in Fig. 4.

Normally, one can expect the learning RMS error to decrease continuously with increasing number of hidden nodes. This simply reflects the fact that with more hidden nodes, the network can do a better job of fitting the network parameters to the data. This was not found in the present study. The results suggest that the initial decrease in learning RMS and the leveling off after three hidden nodes is a result of the scatter in the training data set. As noted above, the training data set is a compilation of data from several sources and for several arc welding techniques. Discrepancies due to the different processes or scatter in chemical analyses and ferrite measurements is an unavoidable consequence. Therefore, some of the data showed different FN values for basically identical compositions. Whether this is due to errors or to different welding techniques is immaterial as far as the network is concerned. The end result is that there is some degree of stochasticity in the data and the network is limited in the degree to which it can learn relationships between the inputs and outputs. Even under ideal circumstances, when the learning RMS error decreases continuously with increasing number of hidden nodes, the optimal network configuration is not the one with the lowest learning RMS error. Such a configuration represents a network that has memorized the training set very well. Rather, the best architecture is the one with the lowest predicting RMS error. This was the criterion that was used in this study. The fact that the learning RMS reaches a plateau very quickly is an indication that a good generalization scheme was found by the neural network and that the neural network analysis is appropriate for this application.

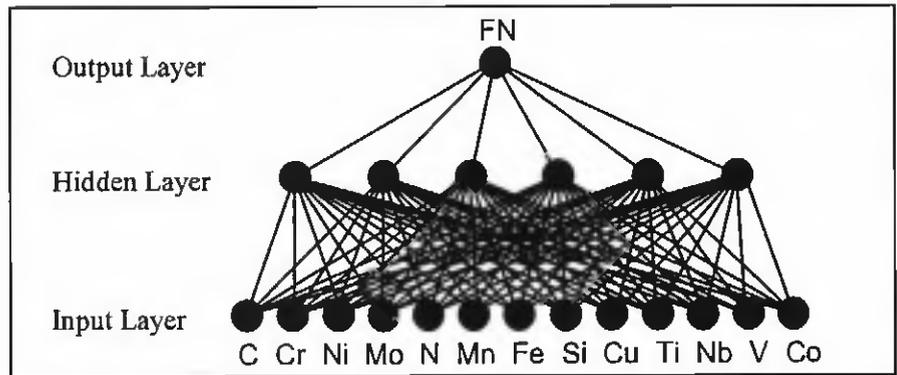


Fig. 4 — Final, optimum neural network architecture for predicting Ferrite Number.

Referring to Fig. 2, the next step is to find the best neural network. With the optimum architecture identified, 80 neural networks with different, randomly selected initial weight values were developed. The neural networks were trained on the complete training data set. The learning RMS was calculated for each of these networks and the one with the minimum RMS was selected as the "best" network. It should be noted that an absolute best neural network is not likely to be identified. This is for two reasons. First, training can be continued indefinitely and it is always possible that a marginally better network will be produced. In the present study, training was discontinued when 200,000 additional iterations, checked every 10,000 iterations, did not yield any improvement in the learning RMS. Second, it is always possible to consider additional initial weight distributions and these may also lead to an improved network accuracy. However, the top five networks among the 80 tested in this study had RMS values that varied by only 4% (relative). This is a small difference and, therefore, it is expected that further testing and training would yield only marginally better networks, with insignificant improvements in FN prediction accuracy. The best network is defined by the values for the weights, which are given in the tables in Appendix B. These weights are the coefficients  $w$  that are used in Equations A-2 and A-4 in Appendix A.

The final step in the analysis is to evaluate the accuracy of the neural network in predicting the FN. This was accomplished by several means. First, the differences between the calculated FN and the experimentally measured FN for the complete training data set were compared to those using other FN prediction methods. Second, the independent, supplemental data set was used to test the best network. Finally, a small number of data points (10, or roughly 1% of the 961

total points) were removed from the complete training data set, and the neural network was trained on the remaining (nearly complete) data set using the optimal architecture and the optimal starting weights. In this way, a network comparable to the best network was created, and a prediction test was run on the few (ten) removed data points that were not used in the training. There are advantages and disadvantages of each method of accuracy evaluation, and these are discussed with the results presented in Part 2. However, all three methods indicated the best neural network was quite accurate in predicting the FN, with a significant improvement in accuracy over other available FN prediction methods, including the WRC-1992 constitution diagram.

## Conclusions

A neural network analysis is applied for the prediction of Ferrite Number in stainless steel arc welds as a function of weld composition. The development of the neural network model is described in detail, including the identification of the inputs and outputs and the optimum network architecture. The neural network model (FNN-1999) uses 13 element concentrations as input (C, Cr, Ni, Mo, N, Mn, Si, Fe, Cu, Ti, Nb, V and Co). The final network weights that correspond to the "best" network are presented. In Part 2, the results of calculations for predicting Ferrite Number using the best neural network are given. It is shown in Part 2 that the new FNN-1999 model provides a simple and quick means for predicting Ferrite Number in weldments with a substantial improvement in the accuracy of the prediction compared to other models that are currently available. In addition, the added flexibility that is available with the neural network method in predicting elemental effects on Ferrite Number as a function of composition is demonstrated in Part 2.



ized within the range of 0.2 to 0.8 in order to utilize the linear range of the sigmoid function. This was accomplished by normalizing the (experimental) outputs as follows:

$$O_k = 0.2 + \frac{V_k - V_{k, \min}}{V_{k, \max} - V_{k, \min}} 0.6 \quad (A6)$$

where  $V_k$  is the real output values and  $V_{k, \min}$  and  $V_{k, \max}$  are the real minimum and maximum output values, respectively. From Equation A6, the "denormalization" of network outputs into real values can be derived and it is given by

$$V_k = V_{k, \min} + (O_k - 0.2)(V_{k, \max} - V_{k, \min}) / 0.6 \quad (A7)$$

In the present study, the calculated output is Ferrite Number, which cannot be negative. Therefore, if the calculated Ferrite Number ( $V_k$  in Equation A7) is negative, it was reset to 0.

With the sequence of operations defined by Equations A1 through A7, outputs can be calculated directly from input values. The sequence of steps can be easily incorporated into a spread sheet format. Thus, the prediction of Ferrite Number from alloy compositions can be simple and quick. The neural network weights, as well as the composition ranges used in the Ferrite Number analysis, are given in Appendix B.

### Appendix B — Trained FNN-1999 Neural Network Parameters for Ferrite Number Prediction.

The FNN-1999 neural network described in this study used 13 inputs corresponding to 13 elemental concentrations. The minimum and maximum concentrations over which the network was trained, and over which it is expected to be valid, are given in Table B-1. Note that non-zero minima are specified for eight elements while concentrations of 0 are acceptable for Cu, Ti, Nb, V and Co. If the concentration for any of these latter five elements is not known (and is presumably at a residual level), then a value of 0 is to be used in the network calculations. The rationale for this procedure was as follows.

For Cu, Ti, Nb, V and Co, analyzed concentrations were not available for much of the data in the complete training data set, as well as for the supplemental data set. Since a concentration had to be used for all the data when developing and using the neural network model, a concentration had to be assigned in those cases where chemical analyses were unavailable. When concentrations were unknown, it was assumed that the element levels were residual, and therefore small.

Although assigning a residual level is somewhat arbitrary and risky, it was necessary and a value of 0 was chosen. In order to minimize the potentially harmful impact of arbitrarily assigning concentrations for these elements when none were available, artificial maxima in the concentration ranges for these five elements were introduced. These maxima were used when normalizing the concentrations (Equation A1). With an artificial maximum that was four times as high as the real maximum, the difference between the "assigned" value of 0 and the real, unmeasured, residual (small) concentration was minimized when converting the concentration to a normalized value (Equation A1). It was found that if a higher artificial maximum, at ten times the real maximum value, was used, the resultant neural networks produced less accurate network predictions. The artificial maxima used in the normalization calculation of Equation A1 ( $V_{i, \max}$ ) are listed in Table B1. Additional calculations confirmed that the assignment of a 0 concentration vs. a small residual level led to a minimal, insignificant change in FN. For example, changing the Cu concentration from 0 to 0.3 (a large but still residual level) for an austenitic stainless steel base composition results in a

**Table B-1 — Composition Ranges over which the FNN-1999 Network Was Trained and over which It Is Valid**

	C	Cr	Ni	Mo	N	Mn	Fe	Si	Cu	Ti	Nb	V	Co
Node No.	1	2	3	4	5	6	7	8	9	10	11	12	13
Min.	0.008	14.74	4.61	0.01	0.01	0.35	45.599	0.03	0.0	0.0	0.0	0.0	0.0
Max.	0.2	32	33.5	6.85	0.3	12.67	72.51	1.3	3.04	0.54	0.88	0.23	0.32
Artificial Max.									12.16	2.16	3.52	0.92	1.28

The Min. and Max. values are the ones to be used in the normalization of the alloy composition (see Equation A-1) except for Cu, Ti, Nb, V and Co, where the Artificial Max is used. All values are given in weight percent.

**Table B-2 — FNN-1999 Neural Network Weights for Input Layer to Hidden Layer Connections**

Input Node Number, Element and Bias	Hidden Layer Node Number					
	1	2	3	4	5	6
1, C	-0.77387279	0.018434081	0.82022685	0.11006859	0.73543739	0.4691014
2, Cr	-0.069039397	-2.5659544	-0.044000875	-2.9419811	-3.9367428	-1.1520522
3, Ni	2.6727166	7.9642334	1.5666518	-0.23706625	4.4325504	0.048408136
4, Mo	-0.44477677	-1.4908272	2.6978562	-1.1000808	1.0438977	-1.2577403
5, N	0.44379342	0.56137705	0.96918595	0.11622228	2.2909589	-0.92807078
6, Mn	-0.044638768	1.0530523	-0.047280665	0.39095843	0.28415173	-0.55964953
7, Fe	-1.3890632	0.0016147093	-1.5885487	1.3223488	-1.071898	-0.21818578
8, Si	-2.0870762	-0.12974143	0.21457124	2.4922497	0.59629947	-0.21896739
9, Cu	2.0396113	1.8920177	0.83045024	-1.3074456	0.4277178	-0.67360127
10, Ti	-1.5564938	-4.5376453	-0.2121184	1.1786785	-1.1766754	0.006974767
11, Nb	0.26728973	-0.080053128	-0.077407442	0.008604111	-0.20916402	-0.028071323
12, V	-0.037870687	-2.5422843	-1.7820013	-1.2304662	-0.80585718	1.1488333
13, Co	0.99747533	-1.0812732	-1.4582157	-1.4943409	-1.4962931	0.71126705
Bias	0.87582731	1.9934373	0.34536767	-0.6946488	-0.34683022	-0.69136626

