

# Microstructural and Mechanical Characterization of Actively Brazed Alumina Tensile Specimens

*Alumina wetting and tensile specimens are brazed with Au-Ni-Mo-V active brazing alloys*

BY F. M. HOSKING, C. H. CADDEN, N.Y.C. YANG, S. J. GLASS, J. J. STEPHENS, P. T. VIANCO AND C. A. WALKER

**ABSTRACT.** Alumina (94 and 99.8% grade compositions) was brazed directly to itself with gold-based active brazing alloys (ABAs) containing nominally 1, 2 or 3 wt-% vanadium. The effects of brazing conditions on the joint properties were investigated. Wetting behavior, interfacial reactions, microstructure, hermeticity and tensile strength were determined. Wetting was generally fair to good for the ABA and base-material combinations. Microanalysis identified a discontinuous reaction product at the alumina-braze interface as an Al-V-O spinel-type phase. Tensile strength of the 94% alumina specimens was generally not sensitive to the vanadium concentration and consistent within an 85–105 MPa range. The tensile data for the 99.8% alumina specimens, however, had significantly greater variability, with values ranging from 25 to 95 MPa. The highest value was obtained for the samples brazed with the filler metal containing 3 wt-% vanadium. Fractures occurred either along the braze-alumina interface for the 99.8% alumina specimens or through the alumina piece with the 94% alumina samples.

## Introduction

The development of "active" brazing as a reliable joining technology for engineered ceramics (ceramic-to-ceramic and metal-to-ceramic joints) requires a fundamental understanding of the interfacial and bulk microstructural features of the brazement. In general, the mechanical behavior of any brazed assem-

bly is intrinsically dependent on these features, as well as the residual stress state in the joint. However, when joints involve a ceramic substrate with high modulus and limited ductility (compared to metals), interfacial structures are particularly important in determining mechanical behavior of the bonded assembly. By correlating the joint structure with mechanical strength, a relationship can be developed that provides the basis for engineering critical braze joints with properties that satisfy explicit processing and service requirements.

Active braze alloys (ABAs) have been developed as a means to directly wet a ceramic, without the need for metallization of its surface (Refs. 1–11). Since the ABA's ability to work depends on the chemical reaction(s) that occurs between the active element(s) in the braze and the ceramic, a fundamental understanding of how the brazing parameters affect the interfacial reaction kinetics is an important step toward controlling and optimizing the ABA process. Once this is achieved and the braze chemistry, microstructures and properties are quantified, structural responses can be predicted.

Early active alloy systems primarily utilized titanium as the active compo-

nent. The resulting filler metals were limited to processing in either vacuum or inert atmospheres since titanium forms hydrides in reactive, hydrogen atmospheres. Recently, a new family of alloys was developed that is more compatible with hydrogen, employing vanadium as the active constituent. These second-generation ABAs expand the application of "active brazing technology" to areas where the preferred, or required, furnace atmosphere is dry hydrogen, such as in the fabrication of high-voltage tube assemblies. Since these vanadium-containing alloys are relatively new, interfacial and microstructural data for prototype ceramic joints are limited. Such braze joints have yielded generally acceptable hermeticity and tensile strength, but the reaction product(s) responsible for adhesion, a necessary condition for realizing the required joint properties, is not well understood.

The present work examined the materials and processing requirements associated with actively brazing 94 and 99.8 wt-% alumina with a vanadium-containing ABA. The braze composition is based on a modified AWS BAu-4 chemistry (82Au-18Ni, wt-%), with 0.75% Mo and three different vanadium concentrations (1 to 3 wt-%). Wetting behavior, interfacial reactions, microstructure and tensile button strength were determined. The results are reported and discussed below.

## Experimental Conditions and Test Criteria

### Materials and Test Specimens

The base materials consisted of two grades of alumina ceramic, 94 and 99.8 wt-% (92 and 99.8 vol-%), procured from WESGO Ceramics, Belmont, Calif., and Coors Ceramics, Golden, Colo., re-

### KEY WORDS

Alumina  
Brazing  
Gold-Based Alloys  
Active Brazing  
Ceramic-to-Ceramic  
Metal-to-Ceramic

F. M. HOSKING, C. H. CADDEN, N.Y.C. YANG, S. J. GLASS, J. J. STEPHENS, P. T. VIANCO and C. A. WALKER are with Sandia Corp., a Lockheed Martin Co., Albuquerque, N.Mex.







## Hermeticity and Tensile Test Results

The brazed ASTM F19 tensile specimens were used to evaluate joint hermeticity and tensile strength; the results are summarized in Table 3.

Approximately 80% of the brazed 94%-alumina tensile specimens were hermetic. The criteria for hermeticity was defined as a leak rate less than  $1.0 \times 10^{-9}$  cm<sup>3</sup>/s (std. helium atmosphere). The test specimens that “failed” had a leak rate of  $10^{-7}$  to  $10^{-4}$  cm<sup>3</sup>/s (standard helium atmosphere). These leaks were attributed to microcracks in the alumina near the braze interface or insufficient braze material through the annular joint, where misalignment of the top piece in some of the specimens produced a tapered joint. Similar leak results were obtained for the 99.8% alumina tensile specimens. The 99.8% alumina samples that were brazed with the 1% vanadium alloy had the greatest dropout due to leaks.

The formation of braze balls at the joint's free surface (Fig. 4) also affected joint hermeticity. These discrete, local features were observed only on the 99.8% alumina tensile specimens, usually one specimen per data set. The leak distribution for these specimens was generally mixed, with both leakers and non-leakers. As with the braze droplets on the wetting samples, the braze balls concentrated high residual stresses in the ceramic that can initiate fine cracks (Fig. 4), which are then potential leak paths. Even with a hermetic joint, these stress-induced defects can affect the joint's mechanical strength. Their presence can be minimized by controlling the braze volume, joint clearance, applied load, processing conditions and interfacial reactions.

Tensile test results were dependent on the type of alumina, brazing filler metal composition and the brazing temperature (Table 3). The most consistent results were obtained with the 94% alumina specimens. Their tensile strength was nominally in the 95–100 MPa (13.8–14.5 ksi) range, compared with that of the metallized, “baseline” samples, 93 MPa (13.5 ksi). The only data set that fell below this range was for the samples brazed with the 3% vanadium composition at 1000°C. These samples failed at 85 MPa (12.3 ksi), suggesting a possible brazing temperature effect on strength for the higher vanadium-containing filler metal. Failure locations varied for the 94% alumina specimens, but most showed a mixture of substrate (ceramic) and braze failure. There was a slight preference (~60%) for failure to be associated with the bottom braze interface or ceramic.

The tensile strength of the 99.8% alu-

**Table 3 — Tensile Strength of Brazed ASTM F19 Alumina Tensile Specimens**

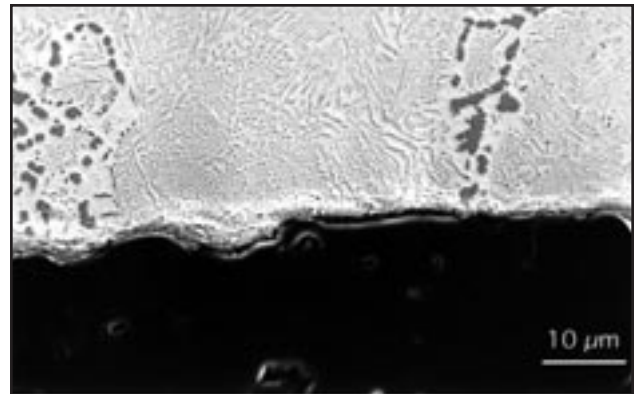
Alumina Grade (%)	Braze Composition (wt-%)	Brazing Temperature (°C)	No Detectable Leak in F19 Specimens (% NDL)	Average F19 Tensile Strength (MPa)	One Standard Deviation of Average (MPa)
94 <sup>(a)</sup>	Au-18Ni (baseline)	1000	100	92.6	9.7
94	Au-Ni-Mo-1V	1000	71.4	95.8	15.7
94	Au-Ni-Mo-1V	1020	71.4	99.8	13.3
94	Au-Ni-Mo-2V	1000	85.7	103.4	3.7
94	Au-Ni-Mo-2V	1020	85.7	99.8	22.1
94	Au-Ni-Mo-3V	1000	85.7	85.4	12.8
94	Au-Ni-Mo-3V	1020	100	102.6	5.7
99.8	Au-Ni-Mo-1V	1000	14.3	61.3	16.0
99.8	Au-Ni-Mo-1V	1020	57.1	55.2	20.7
99.8	Au-Ni-Mo-2V	1000	85.7	35.2	14.6
99.8	Au-Ni-Mo-2V	1020	85.7	25.0	17.4
99.8	Au-Ni-Mo-3V	1000	85.7	70.1	13.5
99.8	Au-Ni-Mo-3V	1020	100	95.3	2.6

(a) Mo-Mn/Ni metallized surface finish (baseline process).

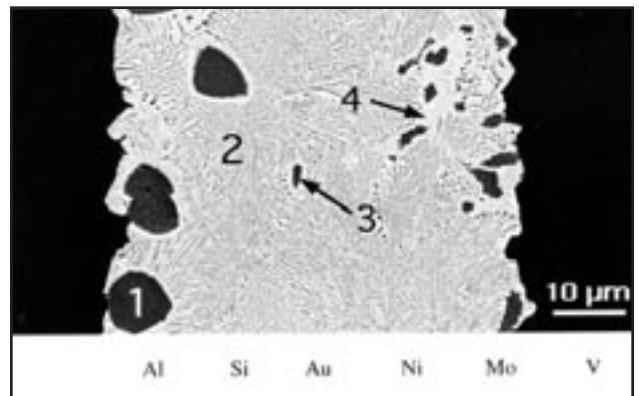
mina specimens had a larger stress range, which was clearly influenced by the brazing temperature and ABA composition. The strength was generally lower than that obtained with the 94%-alumina material. The samples brazed with the 1% vanadium ABA yielded intermediate tensile values, with a nominal average strength of 58 MPa (8.4 ksi). At both brazing temperatures, the standard deviation of specimen strengths exceed 25% of the average.

Strength of the 99.8% alumina samples brazed with the 2% vanadium ABA was significantly lower than those that were brazed with the 1 and 3% vanadium-containing filler metals. The average tensile strength of the 1% specimens brazed at 1020°C was 25 MPa (3.6 ksi), well below the typical baseline strength for similar metallized alumina specimens. The 1000°C strength was slightly higher, with an average value of 35 MPa (5.1 ksi).

Finally, the 99.8% alumina specimens, brazed with the 3% vanadium



**Fig. 6 — Cross section of a 99.8% Al<sub>2</sub>O<sub>3</sub> sample brazed with the Au-Ni-Mo-2V alloy at 1000°C, showing intermittent bonding along the metal-ceramic interface.**



**Fig. 7 — Microstructure and phase compositions (wt-%, EDS analysis) for a 94% Al<sub>2</sub>O<sub>3</sub> braze joint that was fabricated with the Au-Ni-Mo-2V braze alloy at 1000°C. The top half of the braze specimen is observed on the left side of the image.**

ABA, produced a joint strength closest to the 94% alumina tensile values. The 1000°C samples failed at 70 MPa (10.2 ksi), while the 1020°C specimens failed at 95 MPa (13.8 ksi).



**Table 5 — Al-V-O Structures Identified as Possible Reaction Layer Compounds Based upon X-Ray Diffraction Data**

Compound	PDF No.	Crystal Type	d-spacing (nm)
$\text{AlVO}_3$	25-0027	cubic	0.487
$\text{AlV}_2\text{O}_4$	25-0025	tetragonal	0.484
$\text{AlV}_2\text{O}_4$	25-0026	cubic	0.472

Fig. 9. Average composition of these particles (Table 4) varied with both filler metal composition and brazing temperature but was independent of the ceramic substrate. The compositional data suggest a (Au, Mo, V) $\text{Ni}_{2 \text{ or } 3}$  compound.

Examination of the 94% alumina fracture surfaces revealed large areas of transgranular ceramic failure — Fig. 10A. However, in many cases the fracture path traversed across the braze joint several times, resulting in substrate failures on both sides of the joint. The transition regions typically revealed localized intergranular ceramic failure, as shown in Fig. 11. At these locations, the braze metal remained well bonded to the ceramic, with ductile failure of the gold-rich phase and limited deformation of the darker, nickel-rich areas.

Conversely, the 99.8% alumina fractures were dominated by failures at the metal-ceramic interface, with the fracture path almost exclusively proceeding along a single ceramic interface. The limited areas of ceramic substrate failure exhibited substantial intergranular failure of the alumina — Fig. 10B. Far more common was the fracture appearance shown in Fig. 12, where the braze appears to be separated cleanly from the

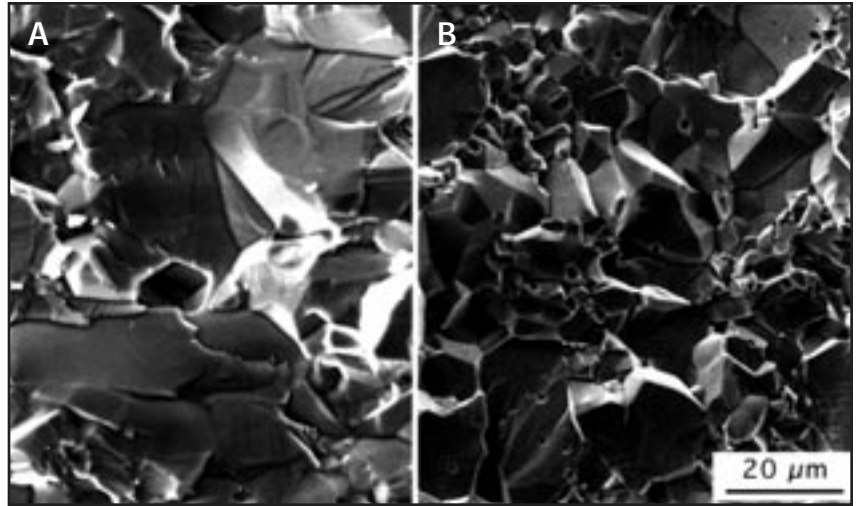


Fig. 10 — Fracture surfaces of brazed alumina tensile specimens that demonstrate a generally transgranular fracture mode in the 99.8%  $\text{Al}_2\text{O}_3$  (A, left) and intergranular fracture mode in the 94%  $\text{Al}_2\text{O}_3$  (B, right) specimens.

ceramic. The resulting metallic surfaces show almost no deformation, and the individual constituents of the braze joint are clearly visible.

No interfacial reaction layer was observed using optical or scanning electron microscopy (SEM). However, transmission electron microscopy (TEM) revealed a very thin layer of material at the metal-ceramic interface. This layer, which appears rippled in Fig. 13, was found at several but not all locations examined on both 94 and 99.8% alumina specimens. These features, situated between the alumina grains and the braze metal (both gold-rich and nickel-rich phases) typically measured about 20–30 nm (0.8–1.2  $\mu\text{in.}$ ) at the widest point.

Higher magnification views of the interface region between the metal and ceramic are shown in Fig. 14. A narrow (approximately 20 nm thick) reaction layer was identified at the interface. From electron diffraction and Fourier analysis of the observed lattice fringes, the orientation of the alumina grain was determined to be along a  $\langle 1101 \rangle$  type zone. Using the alumina lattice fringes as an internal calibration, the spacing of the horizontal lattice fringes observed in the interfacial layer was measured to be 4.8 angstroms. This spacing is consistent with the 4.84-angstrom spacing measured from a selected-area electron diffraction pattern, also taken from the interfacial region.

EDS analysis of the interfacial region

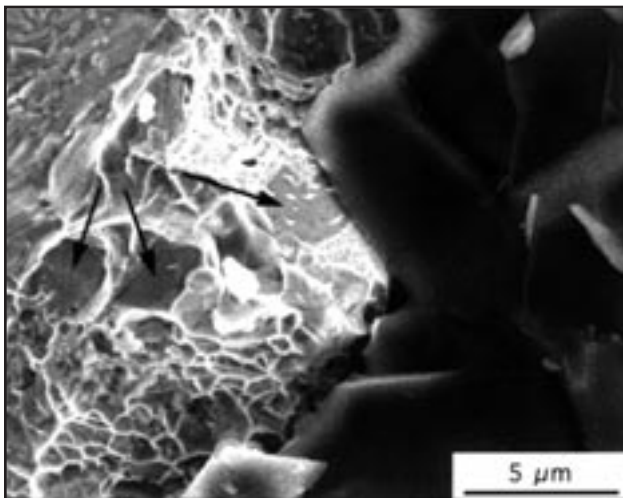


Fig. 11 — Regions of braze metal failure in the 94%  $\text{Al}_2\text{O}_3$  tensile specimens that indicate a ductile fracture mode in the gold-rich areas and limited deformation of the nickel-rich particles (arrows).

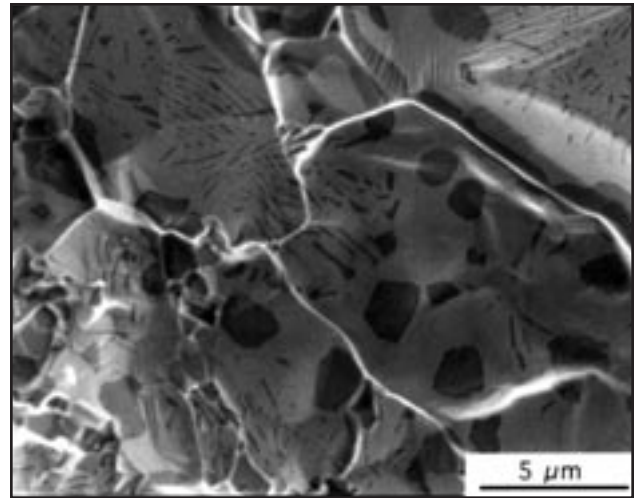


Fig. 12 — Fracture surface of a 99.8%  $\text{Al}_2\text{O}_3$  tensile specimen that failed along the braze metal-ceramic interface. The exposed metallic surface shows almost no deformations.





$\text{Al}_2\text{O}_3$ , although bond strengths are below the strength of the ceramic. Bogicevic and Jennison (Ref. 23) suggest a partial monolayer of metal atoms can bind strongly to alumina through ionic bonding. However, at a thickness greater than one monolayer, the nature of the alumina-metal bond changes from ionic to electrostatic, with a drastic decrease in bond strength. It seems likely the metal-ceramic interface in tensile specimens fabricated in the current study possess a mixture of well bonded metal (facilitated by the  $\text{AlV}_2\text{O}_4$  or  $\text{AlVO}_3$  reaction product) and areas of weak bonding. The tensile results suggest the 94% alumina specimens contain a larger percentage of strongly bonded regions than the high-purity specimens.

Both the nucleation and growth rate of the reaction layer may be affected by crystallographic orientation of the individual  $\text{Al}_2\text{O}_3$  grains. Previous studies found a large variation in calculated surface energy as a function of orientation for ionic crystals (Refs. 24, 25), in contrast with metallic crystals where surface energies are much more isotropic.

In the current study, brazing time was held constant. While the reaction layer appeared to be discontinuous in both 94 and 99.8% alumina specimens, the strength of the 94% specimens was clearly superior. Assuming the individual grains of alumina have essentially the same composition and a random orientation in both ceramic substrates, then the primary difference in bond strength appears to be related to the presence of the glass-grain boundary phase present in the 94% specimens. As previously noted,  $\text{SiO}_2$  has marginal thermodynamic stability in the presence of vanadium at the brazing temperature. Furthermore, at  $1000^\circ\text{C}$ ,  $\text{SiO}_2$  is reduced in a hydrogen atmosphere having a dew point of approximately  $-80^\circ\text{C}$ , only slightly drier than the environment used for these experiments. Small quantities of silicon were detected in the braze joints of the 94% specimens (Fig. 7), evidence of some reduction of  $\text{SiO}_2$  during brazing.

The presence of the glassy grain boundary phase could contribute to the improved strength of the 94% specimens by several mechanisms. Since the glass phase represents approximately 8 vol-% of the specimen, the surface area of alumina exposed to the molten braze alloy is reduced accordingly.

Secondly, following the air-firing step, a very thin layer of silicon-rich material, approximately 10 angstroms or less thick (Ref. 26), covers or partially covers the newly exposed (machined) surfaces. This coverage, in addition to the original 8% surface component, may facilitate wet-

ting and possibly reduce the time necessary for nucleation of the reaction layer.

Finally, the reduction of  $\text{SiO}_2$  by vanadium, or by hydrogen, would lead to the presence of either free silicon or oxygen in the molten braze filler. These compositional changes may influence the thermodynamic activity of vanadium in the braze alloy, thus changing the growth kinetics of the interface reaction product. Similarly, silicon or oxygen in the molten filler may also act as a catalyst for the reaction, although no silicon was detected in the reaction layer at the metal-ceramic interface. Traces of silicon were found in the joint.

#### Macroscopic Considerations

Solidification of binary Au-Ni alloys occurs as a single-phase, solid solution. However, at lower temperatures (below  $700\text{--}800^\circ\text{C}$ ) this structure becomes unstable, and, if the cooling rate is sufficiently low, a phase separation will occur resulting in nickel-rich and gold-rich phases (Ref. 27). The presence of a small amount of Mo in these braze alloys is thought (Ref. 28) to stabilize an additional phase (which facilitates mechanical working of the alloys in production). As previously noted, the compositions of the braze filler metals examined in this study appear to have extended phase instability at temperatures close to their liquidus temperatures. These results are consistent with those of Kang and Kim (Ref. 29), who detected two discrete melting events in experimental two-phase Au-Ni compositions containing Mo, Fe and Cr additions. Stephens and Hamann, in studies of bulk samples of the commercial Au-15Ni-0.7Mo-2.1V alloy (Ref. 30), identified chemical compositions of two phases from samples annealed at  $910^\circ\text{C}$  and then water quenched. The composition of the Ni-rich phase in the bulk alloy is included for comparison with the brazed specimens (Table 4). Note the volume fraction of the Ni-rich, vanadium-containing equilibrium phase in the bulk material (Ref. 30) is relatively high compared to that found in the actual braze joints. The difference was attributed to the presence of small amounts of vanadium and molybdenum in the gold-rich regions and the eutectic constituent of the braze joint — Fig. 7.

The propensity for tensile specimen failures to be associated with the lower metal-ceramic interface may be explained by several factors. The nickel (and vanadium) rich globular phases are preferentially distributed toward the top of the joint — Fig. 5. Since vanadium is fundamentally involved in the reaction

with the ceramic substrate, a higher vanadium concentration toward the top of the joint (found in a lower-density liquid phase) could result in a more developed reaction product (higher percentage) along this upper interface. Additionally, the globular phases contain the majority of the molybdenum and vanadium content of the filler material; these elements possess a lower coefficient of thermal expansion than either gold or nickel. Thus, the presence of the globular phase may locally reduce the thermal expansion coefficient of the braze alloy near the metal-ceramic interface, thereby diminishing the residual stresses near that interface.

Finally, the data on temperature distribution along tensile specimens indicated heat was preferentially extracted from the top piece of the braze specimen during cooling. Consequently, the temperature gradient imposed on the braze joint could cause the liquid filler metal to solidify from the top ceramic interface (either from the reaction layer or from the alumina substrate) toward the bottom interface. The solidifying metallic phases, either Ni- or Au-rich, would have nucleated on the ceramic structure at the upper solid-liquid interface, with some preferential crystallographic orientation.

#### Conclusions

The brazing studies conducted on polycrystalline alumina specimens in the current investigation have led to an understanding of some of the factors that determine mechanical and microstructural behavior when using Au-Ni-Mo-V filler metals. The following conclusions are drawn from the results of this work:

- 1) Au-15.5Ni-0.7Mo-based filler metals containing 1, 2 or 3% vanadium exhibit limited wetting on either 94 or 99.8% alumina substrates at temperatures of  $1000$  and  $1020^\circ\text{C}$  for 5 min in a dry hydrogen atmosphere. All filler metals showed a preferential tendency to wet the glassy phase found in the 94% alumina specimens.

- 2) Specimens made of 94% alumina showed consistently high strength and acceptable hermeticity after being brazed with the three ABA filler metals at the two brazing temperatures. Tensile fractures generally occurred in the ceramic substrate.

- 3) High-purity 99.8% alumina specimens yielded hermetic joints when brazed with the 2 and 3% vanadium filler metals. However, their joint strength was generally lower than comparable 94% alumina braze specimens. The 1% vanadium-containing alloy yielded good tensile values, intermediate to the 1 and 3%



