Measurement and Estimation of Weld Pool Surface Depth and Weld Penetration in Pulsed Gas Metal Arc Welding

A relationship between a change in arc voltage at peak current and depth of joint penetration was established

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ABSTRACT

The weld pool surface may contain sufficient information to determine weld penetration. In this study, a high-speed camera-based vision system was used to image the weld pool surface during gas metal arc welding (GMAW). To calculate the depth of the weld pool surface from the acquired image, a calibration procedure is proposed to determine the parameters in the calculation equation. Welding parameters were designed to conduct a series of pulsed GMAW (GMAW-P) experiments. Modeling using experimental data shows that the change of the weld pool surface depth during the peak current period can predict the depth of the weld penetration with adequate accuracy. However, a direct application of this result is complicated by the need for a vision system. To find a method that can be used to monitor the weld penetration using signals that are easily measurable in manufacturing facilities, a possible relationship between a change in weld pool surface depth and a change in arc voltage was analyzed. The analysis suggested that the change in arc voltage during the peak current period may reflect accurately the change in weld pool surface depth during the peak current period. As a result, it is proposed that the depth of the weld penetration be determined from the change in arc voltage during the peak current period. The modeling result shows that the change in arc voltage during peak current can indeed provide an accurate prediction for the depth of the weld penetration during GMAW-P.

Introduction

Gas metal arc welding (GMAW) can be considered the most widely used arc welding process, preferred for its versatility, speed, and easy application in robotic automation. Pulsed GMAW (GMAW-P) is used to achieve a controlled metal transfer process over wide ranges of heat and mass input levels (Refs. 1, 2). It uses a low amperage to maintain the arc and a peak amperage to melt the welding wire and detach the resultant droplet. As a result, the desired spray transfer can be achieved at low average currents (Refs. 3, 4).

Weld penetration plays a fundamental role in determining the mechanical strength of welds, and thus, its control is critical. This study concerns partial penetration applications where the base metal is not fully melted through its entire thickness. For partial penetration applications, how deep the base metal is melted is referred to as the depth of weld penetration. This depth is often used as the measurement of the weld penetration. It is apparent that the depth of weld penetration is not directly visible. Many methods have been introduced to estimate it based on indirect measurements such as geometrical parameters of the weld pool (Ref. 5), temperature field (Ref. 6), oscillation frequency (Refs. 7, 8), and arc voltage (Ref. 9). To obtain indirect measurements, various techniques such as vision (Refs. 5, 10), ultrasonic (Ref. 11), acoustic emission (Ref. 12), and thermal (Ref. 6) have been used. However, most of those efforts focused on gas tungsten arc welding (GTAW). The GTAW process is less complex and much more stable in comparison with GMAW, which is the concern in this study. The GMAW process uses a consumable wire as an electrode to improve the productivity, and the resultant metal transfer of the melted wire complicates the process. Because of the metal transfer, the droplets impact the liquid weld pool periodically and cause the weld pool to fluctuate. For the pulsed GMAW studied in this paper, the arc pressure also changes periodically, resulting in significant fluctuation in the weld pool surface. The resultant complexity added additional difficulties to obtain indirect measurements needed to estimate the weld penetration.

Among the possible indirect measurements, the weld pool surface appears to be the most promising one with sufficient information to estimate the weld penetration. This is because many skilled welders...
are capable of controlling the weld penetration only based on observation of the weld pool surface. Hence, methods have been proposed to measure the three-dimensional surface of the weld pool using structured-light and diffuse glass (Ref. 13), structured light and calibrated camera (Ref. 14), specular reflection from the weld pool (Refs. 15, 16), shape from shading (Ref. 17), binocular stereo vision (Ref. 10), and its variant biprism stereo vision (Ref. 18). In another effort, Zhang and Yan measured the average height of the weld pool tail boundary, i.e., depth information from a geometry approximation model in GMAW-P for thin plate (Ref. 19). Unfortunately, these methods are largely based on vision, and the suitability of their use in manufacturing facilities needs to be improved before they may be actually applied.

This paper explores the development of a simple yet innovative method to effectively derive the depth of the weld pool surface underneath the arc (referred to as the surface depth or SD hereafter) and relate the depth of the weld penetration (penetration depth or PD hereafter) with it. In order to study their relationship, SD is measured directly using machine vision from high-speed cameras. To use signals that are easily measurable to predict PD, the arc voltage is also measured and related to PD.

**Vision-Based Measurement Principle**

A high-speed camera, OLYMPUS i-SPEED, which is capable of capturing images up to 33,000 frames per second and of directly imaging the weld pool under the presence of the arc, is fixed in the upper side of the weld pool with angle $\beta$ to view the weld pool as shown in Fig. 1. The welding gun is perpendicular to the workpiece surface. For convenience of discussion, a welding gun coordinate system $OXY$ is established as shown in Fig. 1 with the workpiece upper surface as the OXY plane, gun axis as the Z-axis, and weld joint and travel direction as the X-axis.

A vision-based method for SD measurement is shown in Fig. 2. The SD in this paper refers to the maximum weld pool surface depth below the OXY plane, which can be measured using the Z-axis coordinate of the intersection between the Z-axis and the weld pool surface. A pinhole camera model is employed in this study. The scale in Fig. 2 has been adjusted for better illustration. There are three coordinate systems including the camera coordinate system $(O_X,Y,X,Z,C)$, the image coordinate system $(O_i,X_i,Y_i)$, and welding gun coordinate system. The object plane is parallel to the image plane and forms an angle $\beta$ with Z-axis. When the weld pool surface rises such that the intersection between the Z-axis and the weld pool surface rises from $P_0$ to $P_2$, the corresponding point in the object plane changes from $P_{o0}$ to $P_{o2}$ and the corresponding point in the image plane changes from $P_{i0}$ to $P_{i2}$. The SD can thus be measured as

$$ds = \frac{P_{o2} - P_{o0}}{\cos \beta}$$

(1)

where $d_s$ denotes the SD and a negative/positive $d_s$ indicates a weld pool surface above/below the OXY plane. Similarly, if the weld pool surface lowers, the SD will be

$$ds = \frac{P_{o1} - P_{o0}}{\cos \beta}$$

(2)

Define $S_c$ as

$$S_c = \frac{P_{o1} - P_{o0}}{P_{i1} - P_{i0}}$$

(3)

where $P_{ij}$ is the image point of $P_i$ ($i = 1, 2$) in the image plane while $P_{ij}$ is the corresponding point in the object plane. Then,

$$d_s = \pm S_c \times \frac{P_{i3} - P_{i0}}{\cos \beta}$$

(4)
Hence,

\[ d_s = S_c \times \frac{(y_{ij} - y_{i0})}{\cos \beta} \quad (5) \]

where \( y_{ij} \) and \( y_{i0} \) are the y-ordinate of \( P_{ij} \) (\( j = 0, 1, 2 \)) and the origin, respectively, in the image.

It is apparent that parameters \((S_c, \beta)\) and position of the origin in the image, i.e., \( O(x_{i0}, y_{i0}) \), are needed in order to calculate the SD. To this end, a calibration based method was used.

**Calibration**

A circle has no directionality. Using this characteristic of a circle, a calibration procedure was designed to determine \( S_c, \beta \), and \( O(x_{i0}, y_{i0}) \). A calibration circle with a cross was placed right below the wire tip and adhered to the workpiece upper surface as shown in Fig. 3.

Ideally, the optical axis of the camera forms no angle with the OYZ plane; however, there must be a small angle, denoted as \( \theta \) between them — Fig. 4. In addition, the camera may also have a small rotation angle \( \phi \) as shown in Fig. 4. As the circle has no directionality, the image of the circle will be an ellipse whose long axis is equal to the diameter of the circle and center is the center of the circle. If the parameters of the ellipse, including center position \((X_0, Y_0)\), major semi-axis \( a \), minor semi-axis \( b \), and rotation angle of the ellipse \( \alpha \) are obtained from image processing, one can easily determine

\[ O = (X_0, Y_0) \quad (6) \]
\[ \phi = -\alpha \quad (7) \]
\[ S_c = \frac{r}{a} \quad (8) \]

where \( r \) is the actual radius of the circle in millimeter. Further,

\[ \beta = \arcsin\left(\frac{b}{a}\right) \quad (9) \]

Also, the slopes and intercepts of the lines \( k_h, b_h, k_v, b_v \) can be obtained from image processing. Define \( \alpha' = \arctan(k_h) \) and \( \psi = \alpha - \alpha' \), then

\[ \theta = \arcsin\left(\frac{\sin \psi}{\sin \beta}\right) \quad (10) \]

The image of the calibration circle is shown in Fig. 5. The area where the location of the calibration circle is selected to process, and the image processing results are shown in Fig. 6. The parameters for the ellipse and lines can easily be obtained from the processed image.

**Measurement Algorithm Test and Error Analysis**

The test principle is shown in Fig. 7. Two metal plates of identical size but different thickness are prepared with identical homocentric round orifices as shown in

<table>
<thead>
<tr>
<th>Table 1 — Measurement Algorithm Test Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Calculated Thickness (mm)</td>
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<td>6.72</td>
</tr>
</tbody>
</table>
After positioning the camera and reference plate, the reference plane, i.e., the top surface of the reference plate, is imaged as shown in Fig. 7(2). Then the test plate is positioned on top of the reference plate with the two homocentric orifices perfectly aligned and imaged as shown in Fig. 7(3). The two images are then processed for the center points of the two ellipses, which can be used to calculate the thickness of the test plate.

In this study, both of the two plates are 100 × 50 mm, while the thickness is 2.54 mm and 6.35 mm for the reference and test plate, respectively. The diameters of the two identical round orifices are both 6.35 mm. The two original images are in Fig. 8A and B. The ellipse fitting and line fitting results are shown in Fig. 8C and D. The results of the thickness calculation are given in Table 1.

As can be seen in Table 1, there is an error between the actual and calculated thickness. This error can be considered an estimate of the error for the proposed depth calculation algorithm when the weld pool surface changes for 6.35 mm. This error occurs because the algorithm shown in Fig. 2 is actually an approximation of the accurate camera model.

The relative inherent error (δ) is

\[ \delta = \frac{\cos \eta}{\cos (\beta - \eta) \cos \beta} - 1 \]  

It is apparent that, if \( \eta \to \beta \), then \( \delta \to 0 \). In the case above, \( \beta \) and \( \eta \) can both be calculated from Equation 9, i.e., \( \beta = \arcsin(b/a) \) using \( a \) and \( b \) from the image of the reference plate and \( \eta = \arcsin(b/a) \) using \( a \) and \( b \) from the image of the test plate, resulting in \( \beta = 0.576 \) and \( \eta = 0.548 \). The relative inherent error is thus 2.67%.

There are additional error sources including possible unwanted self rotation of the camera, a small gap between the two plates used in the test, calculation error in calculating the centers of the two ellipses, and so on. The error given in Table 1 is the result of all these sources. However, in actual measurement, the change of the weld pool surface depth is much smaller than 6.35 mm used in the above test such that the error caused by the approximation of camera model is much reduced. Hence, in actual measurement, the error will be much smaller than 5.76% although 5.76% should be considered an acceptable accuracy for weld penetration control.

<table>
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<tr>
<th>Parameters</th>
<th>Values</th>
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<tr>
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<tr>
<td>Average welding voltage (( U_a )) (V)</td>
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</tr>
<tr>
<td>Average peak voltage (( U_p )) (V)</td>
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</tr>
<tr>
<td>Average base voltage (( U_b )) (V)</td>
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<tr>
<td>Change of welding voltage in every peak period (( \Delta U_p )) (V)</td>
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<tr>
<td>Average change of welding voltage in peak period (( \Delta U )) (V)</td>
<td>2.28</td>
</tr>
<tr>
<td>Average SD in peak period (( d_{Fp} )) (mm)</td>
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</tr>
<tr>
<td>Change of SD in every peak period (( \Delta d_{Fp} )) (mm)</td>
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<tr>
<td>Average change of SD in peak period (( \Delta d )) (mm)</td>
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<tr>
<td>Weld width (( W_f )) (mm)</td>
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</tr>
<tr>
<td>Average weld penetration (( d_p )) (mm)</td>
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</table>

<table>
<thead>
<tr>
<th>No.</th>
<th>TS (m/min)</th>
<th>WFS (m/min)</th>
<th>( I_a ) (A)</th>
<th>( I_p ) (A)</th>
<th>( t_p ) (ms)</th>
<th>( I_b ) (A)</th>
<th>( t_b ) (ms)</th>
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<td>8</td>
<td>70</td>
<td>12</td>
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<tr>
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<td>189</td>
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<td>5.49</td>
<td>216</td>
<td>300</td>
<td>8</td>
<td>180</td>
<td>18.7</td>
</tr>
</tbody>
</table>
Experimental Setup

The experimental setup is shown in Fig. 10. The welding machine runs at constant current (CC) mode and the current is controlled by the target computer through D/A. The Olympus i-Speed II camera acquires images at 1000 frames per second and stores them in a CF (compact flash) card. The arc voltage and actual current are synchronized with the images as shown in Fig. 11, measured by the target computer and stored in the host computer.

Surface Depth Measurement Experiment

An experiment was conducted to meas-

Table 4 — Welding Parameters when C = 13.1

<table>
<thead>
<tr>
<th>No.</th>
<th>TS (m/min)</th>
<th>WFS (m/min)</th>
<th>Ia (A)</th>
<th>Ip (A)</th>
<th>tp (ms)</th>
<th>Ia (A)</th>
<th>Ip (A)</th>
<th>tp (ms)</th>
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<td>70</td>
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<td>23</td>
<td>0.42</td>
<td>5.51</td>
<td>217</td>
<td>300</td>
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<td>120</td>
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</tr>
<tr>
<td>24</td>
<td>0.48</td>
<td>6.30</td>
<td>248</td>
<td>300</td>
<td>8</td>
<td>180</td>
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</table>

Table 5 — Welding Parameters when C = 14.8

<table>
<thead>
<tr>
<th>No.</th>
<th>TS (m/min)</th>
<th>WFS (m/min)</th>
<th>Ia (A)</th>
<th>Ip (A)</th>
<th>tp (ms)</th>
<th>Ia (A)</th>
<th>Ip (A)</th>
<th>tp (ms)</th>
</tr>
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<tbody>
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<td>4.45</td>
<td>175</td>
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<td>9.5</td>
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<td>32</td>
<td>0.36</td>
<td>5.33</td>
<td>210</td>
<td>300</td>
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<td>70</td>
<td>5.1</td>
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<td>33</td>
<td>0.42</td>
<td>6.22</td>
<td>245</td>
<td>300</td>
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<td>120</td>
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<td>34</td>
<td>0.48</td>
<td>7.11</td>
<td>280</td>
<td>300</td>
<td>8</td>
<td>180</td>
<td>1.6</td>
<td></td>
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</table>
The weld pool surface depth where two 6.3-mm-thick mild steel (C1018) plates of 300 × 25.4 mm were welded in a square-groove butt joint with a 1.5 mm root opening in the flat position. The welding gun stayed stationary and the workpiece traveled with the welding tractor at a constant speed of 0.42 m/min. The contact tip to workpiece distance (CTWD) was 12 mm. The welding wire used was 1.2 mm (0.045 in.) mild steel ER70S-3. The wire feed speed (WFS) was 4.8 m/min (189 in./min). The welding current was pulsed between peak current 300 A and base current 120 A. The pulse period was 20.9 ms and the duty ratio was 38.3%. The shielding gas was pure argon and the flow rate was 18.9 L/min (40 ft³/h).

A weld pool image obtained by the high-speed camera is shown in Fig. 12. The center of the weld pool surface is defined as the center of the elliptical weld pool and is marked by the red asterisk as shown in Fig. 12. Using the algorithm developed earlier, SD in the peak current period can be calculated and synchronized with the welding current/voltage waveforms as shown in Fig. 13. The average SD can also be calculated

\[
\bar{d}_i = \frac{\sum d_i}{k}
\]

where \(k\) is number of the SD measurements used. Because the weld pool surface is fluctuating due to the metal transfer, measurement averaging is necessary. Denote any SD measurements in the \(i\)th peak period as \(d_{si}\). The change of the SD in the \(i\)th peak period is

\[
\Delta d_{si} = \max(d_{si}) - \min(d_{si})
\]

The average change in different peak periods is

\[
\bar{\Delta d}_i = \frac{\sum \Delta d_{si}}{n}
\]

where \(n\) is the number of peak current periods of concern.

All above measurements from the experiment are listed in Table 2 together with other parameters/variables such as the change of the voltage in the \(i\)th peak period (\(\Delta U_{pi}\)) and the average change of voltage in different peak periods (\(\bar{\Delta U}\)), which will be discussed later, the weld width (\(W_f\)), and the average of the weld penetration (\(d_p\)) that was measured through the gap from the backside.

**Modeling**

**Experiment Design**

In analyzing the GMAW process, not only the welding current/voltage, but also the wire feed speed and welding travel speed (TS) affect SD and PD. When the wire feed speed increases or the travel speed decreases, the PD increases. To concentrate the study on the effect of welding...
current/voltage, the metal deposition can first be controlled at a constant $C$.

$$\frac{WFS}{TS} = C \quad (15)$$

Then $C$ can be changed in order to study the effect from the metal deposition on the SD and PD.

When designing an experiment, the travel speed is first specified at a value from 0.3 m/min to 0.48 m/min, typical for GMAW. Then the wire feed speed is determined based on $C$ used. The average welding current has been determined approximately by the wire feed speed and is used as a constraint in the current pulse waveform design. Also, to facilitate the possibility where the arc voltage is easily measurable in manufacturing facilities with SD, the effect of the current on the relationship between arc length and arc voltage needs to be overcome. Hence, the peak current and its period are set constants and the base current and its period are determined based on the average current. In this study, 300 A and 8 ms were used as the peak current amperage and period. The welding parameters designed are shown in Tables 3–7 for five series of experiments with five different $C$.

Table 8 — Experimental Results for Modeling

<table>
<thead>
<tr>
<th>No.</th>
<th>$C$ (A)</th>
<th>$I_p$ (A)</th>
<th>$U_{d}$ (V)</th>
<th>$U_{p}$ (V)</th>
<th>$U_b$ (V)</th>
<th>$d_i$ (mm)</th>
<th>$\Delta d_i$ (mm)</th>
<th>$\Delta U$ (V)</th>
<th>$W_f$ (mm)</th>
<th>$d_p$ (mm)</th>
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<td>32.4</td>
<td>24.4</td>
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Fig. 11 — Synchronization of measurements. Fig. 12 — Weld pool image and weld pool surface center. Fig. 13 — Welding current/voltage and SD. Current and voltage signals shown are averages of the measurements for the present and last previous point.
Results and Discussion

Using the welding parameters in Tables 3–7, five series of experiments were conducted and the results are given in Table 8.

As can be seen from Table 8, when \( \frac{WFS}{TS} \) is constant, with the increase in the average current, average change of SD in peak period decreases, while PD increases. Take \( C = 11.4 \) as an example; the relationship between the average change of SD in peak periods and the PD is shown in Fig. 14.

In analyzing the GMAW-P process, when the impact of droplets is not considered, the front of the weld pool head is pressed down by the arc and the molten metal flows upward to the tail of the weld pool during the peak period as shown in Fig. 15A. In the base period, the molten metal flows back to the front due to the decrease of the arc pressure and the weld pool surface rises as shown in Fig. 15C. When the metal deposition is unchanged, the SD and arc length will increase if the PD increases as shown in Fig. 15B.

From another point of view, if the weld penetration increases, it must be caused either by an increase in the base current or a decrease in the base period because the peak current amperage and period are kept constant. Since the arc pressure in the base period will increase if the base current increases or the molten metal will have less time to flow back if the base period time decreases, a deeper penetration will result in that less metal flows back by the end of the base period as shown in Fig. 15D. Further, it is likely that the weld pool surface will be pushed to the same level due to the unchanged peak current amperage and period. Hence, the change of SD during the peak period would tend to reduce as was observed from Table 8 and Fig. 14.

When the droplet is taken into consideration, the change of SD in peak current period should change. However, the peak current amperage and period are maintained unchanged and do not change with experiments. The effect of the impact of the droplet on the change of SD should be considered unchanged with experiment. Hence, the droplet should not change how the average change of SD in peak period is related to the weld penetration when the \( \frac{WFS}{TS} \) is constant in the GMAW-P process.

Taking \( \frac{WFS}{TS} \) as a factor into account, the weld penetration is the function of \( \Delta d_s \) and \( \frac{WFS}{TS} \)

\[
    d_p = f(\Delta d_s, \frac{WFS}{TS}) \quad (16)
\]

The interpolation of data can give a non-
parametric graphic model for the relationship (Equation 16) as shown in Fig. 16A. As can be seen, the surface in Fig. 16A is close to a plane. Hence, a plane model can be fitted
\[ d_p = -3.34 \times \Delta d_s + 0.01 \times \frac{WFS}{TS} + 4.31 \]  
with a standard deviation of 0.27 mm. The fitting plane is shown in Fig. 16B with the interpolation of data. This linear plane model has sufficient accuracies for weld penetration control in the GMAW-P process.

Analysis of Model 17 shows that the second term with \( \frac{WFS}{TS} \) has little contribution to the weld penetration. Hence, it is possible that the model can be simplified without consideration of \( \frac{WFS}{TS} \). Using a straight line to fit, the result is shown in Fig. 17B and the resultant model is
\[ d_p = -3.45 \times \Delta d_s + 4.51 \]  
with a standard deviation of 0.27 mm. The accuracy is as good as the plane model. Hence, the depth of the weld penetration can be predicted, with an acceptable accuracy, by the change of the weld pool surface depth during the peak current period.

**Modeling for Practical Application**

While the result that the depth of the weld penetration can be predicted by the change of the weld pool surface depth during the peak current period is fundamental, its direct application in penetration control in manufacturing facilities could be complex if the weld pool surface depth is measured using a machine vision method. To find a method to monitor the weld penetration using signals that are easily measurable in manufacturing plants, a possible relationship between the change in weld pool surface depth and a change in arc voltage is thought because 1) a surface change will cause the arc length to change, and 2) a change in the arc length can be measured from the arc voltage. In the peak current period, the current is constant and the arc voltage is only determining the arc length. Hence, it is possible that the change of the arc voltage in the peak current period may reflect a change in weld pool surface depth.

For quantitative studies, let's similarly define the change of the voltage in the \( i \)th peak period as
\[ \Delta U_{pi} = \max(U_{pi}) - \min(U_{pi}) \]  
and the average change in different peak periods as
\[ \Delta U = \frac{\sum_{i=1}^{n} \Delta U_{pi}}{n} \]  
From the experimental data as given in Table 8, Fig. 18 is obtained. It is apparent that \( \Delta U \) and \( \Delta d_s \) are highly correlated as expected. A model can be easily established to correlate them.

Using \( \Delta U \) to replace \( \Delta d_s \), the following model is fitted:
\[ d_p = -0.56 \times \Delta U + 0.08 \times \frac{WFS}{TS} + 2.69 \]  
The standard deviation is 0.27 mm, and it is the same as for Model 17. Further, the following model can be fitted from the experimental data in Table 8
\[ d_p = -0.71 \times \Delta U + 4.12 \]  
Its standard deviation is 0.31 mm, slightly higher than that using both \( \Delta U \) and \( C = \frac{WFS}{TS} \). For weld penetration control, this model can be considered as effective as Model 21. Because Model 22 only uses voltage signals, it is suitable for practical applications in manufacturing facilities.

**Conclusions**

- The weld pool surface indeed contains sufficient information to determine the depth of the weld penetration as expected during GMAW-P.
- The depth of the weld penetration can be determined with adequate accuracy from the change of the weld pool surface depth during the peak current period.
- The depth of the weld penetration can also be determined with adequate accuracy from the change in arc voltage during the peak current period.
Because the arc voltage is easily measurable in manufacturing plants, the relationship confirmed in this study between the depth of the weld penetration and the change of the arc voltage during the peak current period provides a simple yet suitable method to monitor the weld penetration for manufacturing applications.

Acknowledgment

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References


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